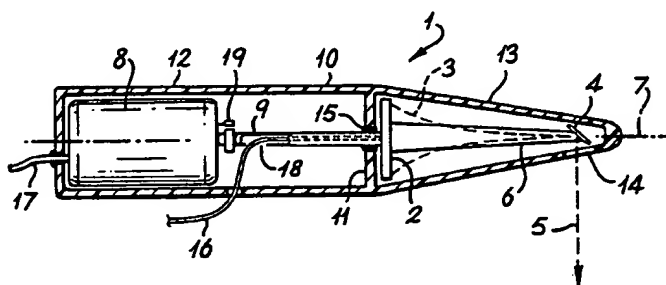




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : <b>A61B 8/06, 8/08</b>	<b>A1</b>	(11) International Publication Number: <b>WO 94/02069</b> (43) International Publication Date: <b>3 February 1994 (03.02.94)</b>
<p>(21) International Application Number: <b>PCT/GB93/01498</b></p> <p>(22) International Filing Date: <b>16 July 1993 (16.07.93)</b></p> <p>(30) Priority data: <b>9215231.3</b>      <b>17 July 1992 (17.07.92)</b>      <b>GB</b></p> <p>(71) Applicant (for all designated States except US): <b>BRITISH TECHNOLOGY GROUP LTD. [GB/GB]; 101 Newington Causeway, London SE1 6BU (GB).</b></p> <p>(72) Inventor; and (75) Inventor/Applicant (for US only) : <b>SKIDMORE, Robert [GB/GB]; 2 Croft Close, Bitton, Bristol BS15 6 HF (GB).</b></p> <p>(74) Agent: <b>PARKER, Geoffrey; Patents Department, British Technology Group Limited, 101 Newington Causeway, London SE1 6BU (GB).</b></p>		<p>(81) Designated States: <b>JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b></p> <p><b>Published</b> <i>With international search report.</i></p>

(54) Title: FLOWMETERS



## (57) Abstract

The invention provides a probe (1) and method for measuring parameters of fluid flow in a conduit within a human or animal body, with specific application to non-invasive measurement of the cross-sectional area of blood vessels, particularly the ascending aorta (24). The probe (1) contains an ultrasound transducer (2) and an acoustic reflector (4) drivable in an oscillatory manner by an electric motor (8). A narrow ultrasound beam (3) is directed downwardly via the suprasternal notch (26) and swept by the reflector through an arc. The probe (1) has an external dimension transverse to the ultrasound beam path (3) which reduces substantially from the region of the transducer to the region (14) where the beam exits the probe. The invention has application in monitoring cardiac output.

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### FLOWMETERS

The present invention relates to the measurement of parameters of fluid flow in a conduit within a human or animal  
5 body. It has more specific application to flowmeters of Doppler ultrasound form, and in a particular application is for use in monitoring a patient's blood flow.

Flowmeters of the Doppler ultrasound type are routinely used in medical practice for measuring and monitoring blood flow in  
10 patients. These meters are usually designed for transcutaneous application, but some have been developed for catheterised application into a blood vessel of interest. However, such meters are often limited to the provision of measures only of blood velocity, whereas the medical community frequently has an  
15 interest in knowing the blood volume flow rate in the relevant vessel. This interest extends particularly to the case in which the vessel is the ascending aorta because the rate is then an effectively direct indication of cardiac output. Blood velocity may be measured using the Doppler ultrasound method, and blood  
20 volume flow rate is provided simply as the product of velocity and the vessel cross-sectional area. The velocity used in such a calculation is a weighted average velocity value to take into account the velocity distribution across the vessel diameter. A problem remains in attaining an indication of the area in  
25 question, and in the case of the interest in cardiac output, area is often estimated for a given patient by way of a quite separate imaging procedure. Margins of error for such measurements can be as high as 20%. Access for non-invasive ultrasound monitoring equipment to the aorta presents particular problems, as the  
30 sternum of the body provides an effective screen to ultrasound signals. Access is possible via the suprasternal notch, but this space is very limited in size.

It is an object of the present invention to improve this situation and to this end there is provided, according to one  
35 aspect of the invention, a medical probe suitable for use in

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measuring parameters of fluid flow in a conduit within a human or animal body, the probe comprising:

a hollow housing having an ultrasound transparent wall part;  
transducer means mounted within the housing and operable to  
5 emit and detect ultrasound beam signals along a path; and  
reflecting means mounted for rotation within the housing and  
disposed in said path to divert the beam through an angle so as  
to emerge from the housing through an exit portion of the  
ultrasound transparent wall part;

10 wherein the external dimension of the housing transverse to  
said path reduces substantially from the region of the transducer  
means to the region of the exit portion.

The type of transducer used in a scanning ultrasound device  
is likely to be of relatively large size, as a narrow ultrasound  
15 beam is required, necessitating a relatively large crystal.  
However the very restricted access provided by the suprasternal  
notch renders it very difficult to introduce a probe with a large  
transducer directly into the notch. The particular advantage of  
the invention is that the part of the probe where the exit  
20 section is situated can be located within the notch, and by use  
of a rotatable reflecting means the ultrasound beam can be  
scanned whilst the transducer means itself remains at some  
distance from the access point.

Preferably, the reflecting means is mounted in the region of  
25 the exit portion. This allows the use of a small rotating  
reflector in the tip of the probe of the invention.

Preferably, the drive means to rotate the reflecting means is  
mounted within the housing. The drive means may be adapted to  
rotate the reflecting means in an oscillatory fashion, or  
30 alternatively, in a continuous unidirectional fashion.

In a preferred form, the reflecting means is mounted for  
rotation about an axis lying along said beam path.

Preferably, the transducer means and the reflecting means are  
linked to rotate together. In this way, the relative orientation  
35 between the transducer means and the reflecting means remains

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unchanged during operation. This has the advantage that small inaccuracies in alignment of the parts, or the distribution of beam intensity across the beam section, will automatically be compensated for and not lead to variation in the characteristics of the ultrasound beam across the range of its sweep.

Preferably, the reflecting means is mounted at an angle of about  $45^{\circ}$  to the direction of said beam path. When the reflecting means is rotated about an axis lying along the beam path, this means that the beam will sweep out a planar arc.

The invention also embraces apparatus for measuring parameters of fluid flow in a conduit within a human or animal body, comprising a probe according to the above definition and signal processing means to analyse Doppler components of the returning ultrasound signals.

According to another aspect of the invention, there is provided a method for measuring parameters of fluid flow in a conduit within a human or animal body using the probe defined above, which method comprises:

locating the probe to position the exit portion adjacent the skin of the body;

operating the transducer means to emit an ultrasound beam;

directing the ultrasound beam by way of the reflecting means substantially along the conduit;

rotating the reflecting means so as to sweep the ultrasound beam through an arc;

detecting ultrasound beam signals returning from at least one range of the beam sweep and analysing the returning signals.

The parameters which can be determined by way of this invention include the vessel diameter, from which an estimation of the cross-sectional area of fluid flow, and hence of the vessel, can be made, and the velocity values at a successive set of points across the appropriate diameter or section, which can be determined by the value of the Doppler signals from the set of points. From these parameters fluid volume flow can be estimated. If data is taken from a number of different ranges

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from the beam origin then it is possible to monitor the behaviour of the fluid within the conduit over a longitudinal portion of the conduit.

In a specific application of the method of invention, the  
5 conduit is the ascending aorta and the beam is directed by way of the suprasternal notch. Blood flow monitoring thereby gives an indication of cardiac performance.

The invention will be further described by way of example with reference to the accompanying drawings, in which:

10 Figure 1 illustrates one embodiment of the apparatus for carrying out the invention;

Figure 2 illustrates schematically the implementation of the invention; and

15 Figures 3 and 4 graphically illustrate details of the implementation of the invention.

A preferred form of the apparatus for producing and directing the ultrasound beam according to the invention is illustrated in Figure 1. The apparatus comprises an ultrasound probe 1 which includes a transducer 2 consisting of a piezoelectric crystal of  
20 planar disc form designed to produce a narrow ultrasound beam. The beam is represented by dotted lines and denoted by reference 3. The electrical signal driving the transducer is carried by a cable 16. The probe 1 also includes an acoustic reflector 4, for example a planar disc of stainless steel,  
25 arranged to intercept and divert the beam 3. The reflector 4 is set at an angle of  $45^{\circ}$  to the direction of propagation of the beam in order to divert the beam through a right angle, but other reflector angles are of course possible, the angle being selected as appropriate. The resulting diverted narrow beam is designated  
30 in Figure 1 by the dotted line of reference 5.

The transducer and the reflector are rigidly connected to one another by means of two or more arms spaced apart from one another, one of which is shown in Figure 1 as reference 6. These arms extend between the circumference of the transducer 2 and  
35 that of the reflector 4 and ensure that relative movement between

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transducer and mirror is not permitted. The transducer is rigidly attached to one end of a hollow drive shaft 9 of a motor 8 such that drive shaft 9, transducer 2 and reflector 4 can be rotated by the motor about axis 7, which is the centre line of the propagated ultrasound beam 3. Motor 8 is preferably a d.c. stepping motor capable of oscillating through a fixed known angle at a known speed of rotation. Such oscillatory rotation will therefore produce a narrow ultrasound beam 5 which executes a cyclic plane polar sweep perpendicular to the axis 7. An encoder, such as an optical encoder 19, is provided on drive shaft 9.

The motor, the transducer and the reflector are all mounted within a probe housing 10 which is made up of two parts, a cylindrical proximal part 12 and a tapered distal part 13. The proximal part 12 encloses the motor 8 whilst the tapered distal part 13 contains the transducer and reflector combination. An ultrasound window 6 is provided in the side wall of the tapered distal part 13 adjacent to the reflector 4 to enable transmission of the ultrasound beam. The window consists of a clear plastics material with very low acoustic attenuation. The probe 1 is designed to be easily manipulable by an operator who holds the cylindrical proximal part 12, whilst the tip of the tapered distal part 13, in which the reflector 4 is located, is shaped and sized to fit within the limited space provided by the patient's suprasternal notch. As an example, a probe measuring 12 cm in length may be used whose diameter narrows from 2.5 cm in the region of the transducer to considerably less in the region of the tip.

The probe housing further comprises an internal partition 11 which separates the spaces enclosed by the two parts 12 and 13, a bore in the centre of this partition enabling the hollow motor drive shaft 9 to pass through. A fluid seal 15 is provided between the drive shaft and the partition 11. The cable 16 passes from the outside of the probe into the interior of the proximal part 12 of the probe housing and then into the hollow

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drive shaft 9 through an opening 18 in the wall of the latter. The cable 16 is connected to transducer 2. A second cable 17 supplies power to the motor 8.

5 The space enclosed by the tapered part 13 of the probe housing is filled with a mineral oil which is prevented from passing into the part 12 of the housing containing the motor and cables by the seal 15. The mineral oil is selected both for its acoustic properties and to avoid cavitation.

10 The transducer 2 acts as an ultrasound transmitter/receiver, although it is envisaged that separate transmission and reception elements could be employed.

The implementation of the invention is schematically shown in Figure 2. A transmitter/receiver system 21 and a Doppler signal processing apparatus 22 of digital multigated Doppler type are  
15 utilised. The transmitter includes an ultrasound frequency oscillator supplying power to the transducer 2 via cable 16 in a pulsed wave mode to produce the ultrasound beam. Between transmission pulses the transducer detects ultrasound signals and by opening a single gate in the receiving circuit signals can be  
20 admitted returning from a discrete range within the medium being investigated, that range being known from the time delay between transmission and detection of the ultrasound beam. By opening successive gates in the receiving circuit signals are admitted from a series of successive ranges, and thus specific data is  
25 received about the individual depths at which the signals are gathered. Much work has been carried out in developing instrumentation for applying Doppler ultrasound techniques to investigating movement of blood in its vessels and it is not intended to describe this specifically here, but details about  
30 such instrumentation and associated processing are described in 'Doppler Ultrasound - Physics, Instrumentation and Clinical Applications' by D.H. Evans, W.N. McDicken, R. Skidmore and J.P. Woodcock, published by John Wiley & Sons, 1989.

The apparatus also includes a power source and sweep  
35 control 20 for the motor. Power is supplied to the motor via



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cable 17 to drive the motor in the appropriate oscillatory manner. By virtue of the encoder 19, at a given point in time the angular position of the beam can be determined.

Figure 2 also depicts the ultrasound probe 1 being used to  
5 investigate the ascending aorta 24 downstream of the aortic valve 25. The beam 5, which as already explained emerges laterally from the probe 1 due to the reflector 4, is directed downwardly by way of the suprasternal notch 26 along the ascending aorta 24. The suprasternal notch provides a small  
10 'window' through the patient's sternum 27. When correctly directed therethrough, the beam sweeps out an arc of a specific angle across the width of the aorta, that angle depending on the angle of oscillation of the transducer/reflector combination provided by the motor 8.

15 Figures 3 and 4 provide a diagrammatic illustration of the principle of the method according to the invention. The electronics of the Doppler signal processing device 22 permit information to be gathered from Doppler signals emanating from specific ranges in the beam sweep and at specific known angles  
20 across the sweep, known from the sweep control of device 20. The information can be presented on a monitor 23 or otherwise in an appropriate manner for real-time monitoring of the operation of the device. The wall of the aorta is designated by reference 30 and three different ranges at successively increasing distances  
25 from the beam source are designated respectively by reference letters A, B and C. As the beam makes a sweep across the vessel the Doppler signal processing means 22 detects the Doppler components of the received signal due to the movement towards the transmitter/receiver transducer 1 of the corpuscles in the moving  
30 blood at, say, range A. If, during its sweep, the beam extends beyond the vessel wall at this range, as is the case at range A, then the signals will be zero at the outer edges of the beam sweep. A Doppler signal will only be received from the points where moving blood is detected, in other words between points X  
35 and X'. The angles and range of these points are known, and so

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the positions of the points can be determined. Once processed, the signals at different points in the beam sweep can therefore give an image of the fluid in the vessel at that range as schematically illustrated in Figure 4. The image will have a  
5 markedly distinctive segment for that portion of the beam sweep between the angular directions at which the beam is incident on the vessel wall at that range, and from this the diameter of the vessel can be determined, or at least the diameter of the flow within the vessel which is the more useful piece of information.  
10 Assuming the aorta to be circular in section, a cross-sectional area can therefore be determined.

Furthermore, velocity values can be determined from the Doppler signals from specific points across the beam sweep to give an indication of the velocity distribution profile across  
15 the blood vessel, and by multiplying the weighted average velocity by the measured cross-sectional area the blood flow can be estimated. The technique of Doppler colour flow mapping can be used to obtain a useful visual representation of the velocity profile.

20 In use, the operator orientates the probe whilst monitoring the image on the screen. For example, the operator can move the probe in the direction perpendicular to the direction of sweep to determine when the beam is producing the widest Doppler image and therefore ensure that it is sweeping across the full diameter of  
25 the vessel. The monitoring range is selected as appropriate for the patient, for example, a range of 6 cm from the probe tip for an adult or 2.5 cm in the case of a neonate, and can then be altered if required in specific circumstances.

In monitoring the performance of the heart it is often  
30 required to determine the maximum blood flow in the aorta in order to give a measure of cardiac output at peak systole. This is achieved with the method of the present invention by triggering the apparatus to admit data from a beam sweep when the maximum velocity at a certain point has been detected by the  
35 Doppler signal processing means. The aortic diameter varies over

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the cardiac cycle so is also likely to be at a maximum at this point in time. A flow measurement thus obtained gives a representation of peak systolic cardiac output. If at the same point in time Doppler signals are detected from other ranges, for example ranges B and C in Figure 3, then an instantaneous image of the moving fluid over a longitudinal section (a 'range cell') of the conduit can be obtained, for example over the full length of a passing pulse.

Pulse frequency, beam sweep speed, and other variables can clearly be selected as appropriate, but as an example pulsed ultrasound at 8kHz can be used and the beam can be swept at a frequency of 10 cycles/s.

The embodiments of the invention illustrated in the figures and described above are given by way of example only and it will be understood that these in no way limit the scope of the invention which is taken to include all embodiments that fall within the spirit and scope of the appended claims. For example, as an alternative to the motor driving the transducer/reflector combination in an oscillatory manner the beam may be driven in continuous rotation, the ultrasound signals only being transmitted and/or received over the angular portion embracing the region under investigation.

The reflector 4 may furthermore itself be adjustable to alter the incident angle in order to alter the position of the emergent beam according to specific circumstances.

The data provided by the signal processing system, besides being displayed on monitor 23 in image form, can be stored in a computer memory or on disc for later retrieval. Similarly, a printer can be added to the system in order to produce hard copies of the data in image form or otherwise.

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CLAIMS

1. A medical probe suitable for use in measuring parameters of fluid flow in a conduit within a human or animal body, the probe comprising:
- 5       a hollow housing having an ultrasound transparent wall part;  
          transducer means mounted within the housing and operable to emit and detect ultrasound beam signals along a path; and  
          reflecting means mounted for rotation within the housing and disposed in said path to divert the beam through an angle so as  
10       to emerge from the housing through an exit portion of the ultrasound transparent wall part;  
          wherein the external dimension of the housing transverse to said path reduces substantially from the region of the transducer means to the region of the exit portion.
- 15       2. A probe according to Claim 1, wherein the reflecting means is mounted in the region of the exit portion.
3. A probe according to Claim 1 or 2, wherein drive means to rotate the reflecting means is mounted within the housing.
4. A probe according to Claim 3, wherein said drive means is  
20       adapted to rotate the reflecting means in an oscillatory fashion.
5. A probe according to any preceding claim wherein the reflecting means is mounted for rotation about an axis lying along said beam path.
6. A probe according to any preceding claim wherein the  
25       transducer means and the reflecting means are linked to rotate together.
7. A probe according to any preceding claim wherein the reflecting means is mounted at an angle of about 45° to the direction of said beam path.
- 30       8. Apparatus for measuring parameters of fluid flow in a conduit within a human or animal body, comprising a probe according to any preceding claim and signal processing means to analyse Doppler components of the returning ultrasound signals.

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9. A method for measuring parameters of fluid flow in a conduit within a human or animal body using the probe of any of Claims 1 to 7, which method comprises:

- 5     locating the probe to position the exit portion adjacent the skin of the body;
- operating the transducer means to emit an ultrasound beam;
- directing the ultrasound beam by way of the reflecting means substantially along the conduit;
- rotating the reflecting means so as to sweep the ultrasound
- 10    beam through an arc;
- detecting ultrasound beam signals returning from at least one range of the beam sweep and analysing the returning signals.
- 10. A method according to Claim 9, whereby a parameter to be measured is the cross-sectional area of fluid flow in a conduit
- 15    of substantially circular section.
- 11. A method according to Claim 9 or 10 whereby the conduit is the ascending aorta and the beam is directed by way of the suprasternal notch.
- 12. A method according to Claim 10 or 11 whereby at least one
- 20    velocity measurement is made and the cross-sectional area measured is multiplied by the velocity measurement to provide an estimate of fluid flow rate within the conduit.

1/2

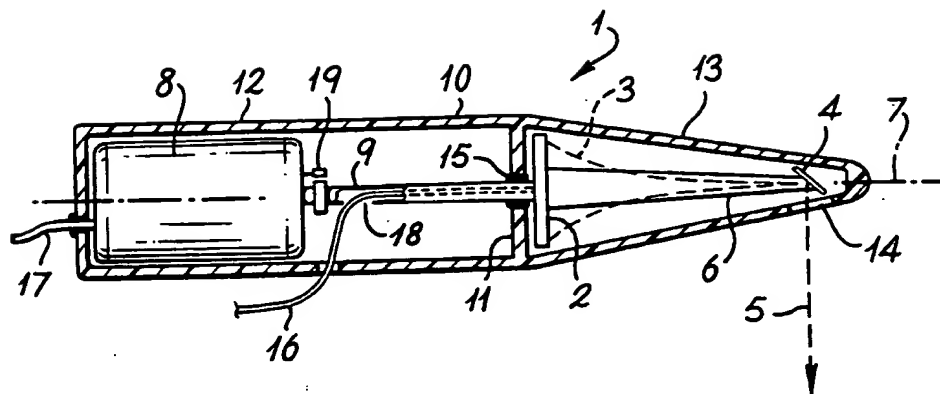


Fig. 1

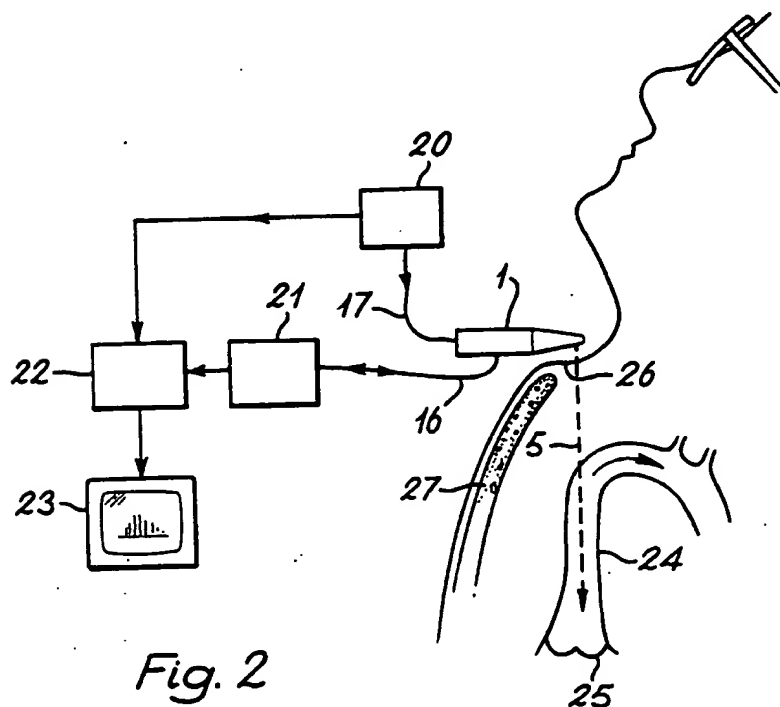


Fig. 2

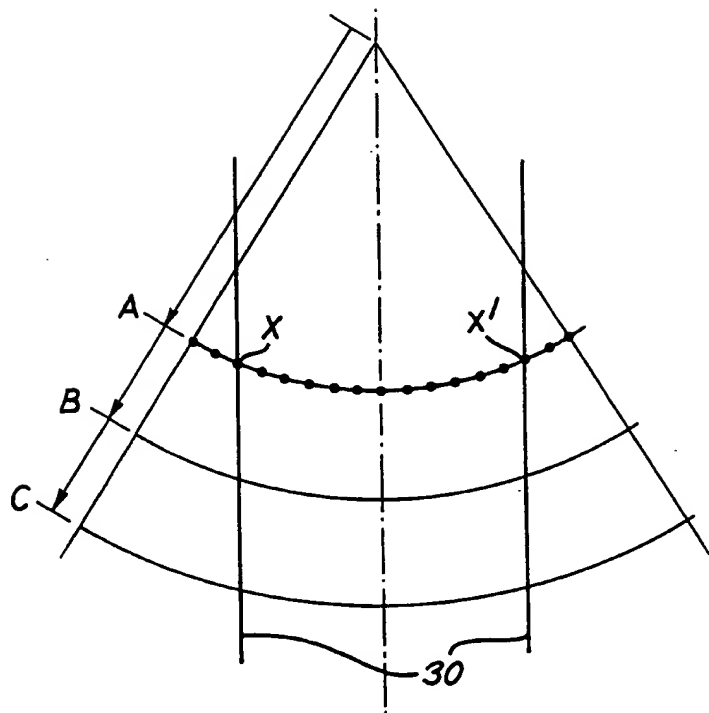


Fig. 3

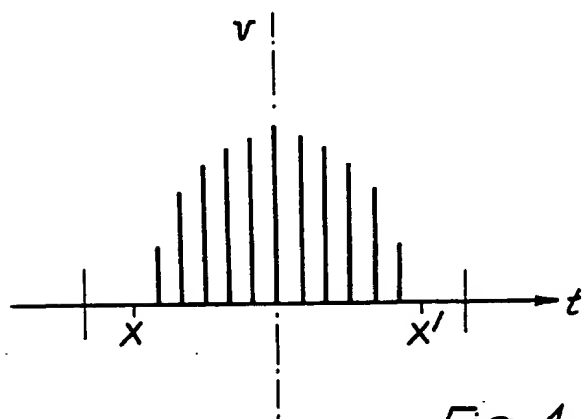


Fig. 4

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 93/01498

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC Int.Cl. 5 A61B8/06; <span style="margin-left: 100px;">A61B8/08</span>		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
Int.Cl. 5	A61B	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
Y	EP,A,0 329 492 (B.A.J. ANGELSEN ET AL.) 23 August 1989	1-3,5,7
A	see column 7, line 11 - column 9, line 53 ---	8-10,12
Y	US,A,4 546 771 (R.C. EGGLETON ET AL.) 15 October 1985	1-3,5,7
A	see column 2, line 54 - column 3, line 46 see column 5, line 38 - line 53; figures 2,4A ---	4,6,9
A	US,A,4 317 370 (W.E. GLENN) 2 March 1982	1-4,7
A	see column 3, line 63 - column 5, line 44 ---	
	US,A,4 757 823 (J.F. HOFMEISTER ET AL.) 19 July 1988	1,2,6
	see column 7, line 41 - column 9, line 32 see column 11, line 3 - column 13, line 2 ---	8-10,12
	-/--	
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
09 SEPTEMBER 1993		13. 10. 93
International Searching Authority		Signature of Authorized Officer
EUROPEAN PATENT OFFICE		RIEB K.D.



# INTERNATIONAL SEARCH REPORT

PCT/GB 93/01498

International Application No

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
A	<p>US,A,4 509 526 (S.R. BARNES ET AL.)            9 April 1985            see column 8, line 26 - line 59            see column 18, line 34 - column 19, line            30; figures 3,27,34            -----</p>	1,8-12

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

GB 9301498  
SA 76815

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on  
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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US-A-4546771	15-10-85	None	
US-A-4317370	02-03-82	AU-B- 519809 AU-A- 3668578 CA-A- 1118088 EP-A,B 0000067 JP-A- 54018179	24-12-81 06-12-79 09-02-82 20-12-78 09-02-79
US-A-4757823	19-07-88	None	
US-A-4509526	09-04-85	None	

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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82